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CENTRAL FAX CENTER700 Koppers Building  
436 Seventh Avenue  
Pittsburgh, PA 15219P 412.471.8815  
F 412.471.4094  
webblaw@webblaw.com

JAN 14 2010

January 14, 2010

VIA FACSIMILE NO.: 1-571-273-8300

Page 1 of 25

UNITED STATES PATENT AND TRADEMARK OFFICE  
OFFICE OF PATENT PUBLICATION

Re: United States Patent No. 7,576,263, issued August 18, 2009  
Serial No. 10/540,956  
entitled "Novel Gene OSISAP1 of Rice Confers  
Tolerance to Stresses and a Method Thereof"  
Docket No.: 4544-051956 **THIRD REQUEST**

## To Whom It May Concern:

Attached you will find copies of our correspondence attempting to obtain a Certificate of Correction that contains the name of the second Assignee in this case as well as corrects a minor printing error. We originally sent our FIRST request via the regular channels by electronic filing on November 23, 2009. We paid ALL of the fees required and submitted all of the documentation necessary to obtain the Certificate of Correction. Our request was *Denied* stating that we should have included the \$130.00 Fee. You will see on the PTO Acknowledgement that a fee in the amount of \$230.00 (\$100.00 for the Certificate of Correction and \$130.00 for the Petition as set forth in 37 C.F.R. §1.17(h)) was paid with our initial request.

A call was placed to the Office of Data Management on December 15, 2009. Nothing was rectified during this telephone conversation. We attempted a SECOND time to obtain a Certificate of Correction and sent copies of all of the documents from our first request. Our Second Request was sent via regular mail on December 16, 2009.

On December 29, 2009, a Certificate of Correction issued which corrected only the printing error. Since we have paid all of the fees required to add the second Assignee and submitted all of the documentation required, we are requesting again that a Certificate of Correction be issued which contains both of the items needing correction, i.e., the listing of the second Assignee and the correction of the printing error. Kindly reconsider this matter and issue a complete Certificate of Correction at your earliest convenience.

A new form PTO/SB/44 is attached for your convenience.

Very truly yours,

A handwritten signature in black ink, appearing to read "J. Matthew Pritchard, IV".

J. Matthew Pritchard, IV  
Registration No. 46,228

JMP:mjs  
Attachments

PTO/SB/44 (07-07)

Approved for use through 04/30/2007. OMB 0651-0033

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
(Also Form PTO-1050)**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

Page 1 of 1

PATENT NO. : 7,576,263  
APPLICATION NO. : 10/540,956  
ISSUE DATE : August 18, 2009  
INVENTORS : Tyagi et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

- (1) Face of the Patent, See Item (73) Assignee: the second assignee is missing.  
Item (73) should read:  
— University of Delhi, New Delhi (IN) and  
Department of Biotechnology, New Delhi (IN) —
- (2) Face of the Patent, See Item (56) References Cited, OTHER PUBLICATIONS,  
Column 2, the second to last entry to Mohanty et al., "high frequency" should read  
—high frequency—

MAILING ADDRESS OF SENDER: The Webb Law Firm  
700 Koppers Building  
436 Seventh Avenue  
Pittsburgh, PA 15219

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-2450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention: Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select Option 2.

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 7,576,263 B2  
APPLICATION NO. : 10/540956  
DATED : August 18, 2009  
INVENTOR(S) : Tyagi et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

- (2) Face of the Patent, See Item (56) References Cited, OTHER PUBLICATIONS, Column 2, the second to last entry to Mohanty et al., "high frequency" should read --high frequency--

**COPY**

DOES NOT  
CONTAIN  
THE NAME  
OF THE  
SECOND  
ASSIGNEE



Signed and Sealed this

Twenty-ninth Day of December, 2009

David J. Kappos

David J. Kappos  
Director of the United States Patent and Trademark Office

The dating stamp of the Patent Office on this card will be taken as an indication that the accompanying paper was filed.

Inventors: Tyagi et al.

Patent No. 7,576,263

Paper dated: December 16, 2009

Atty's File No. 4544-051956

Amount of Checks N/A (Previously  
Paid fees of \$100.00 \$130.00)

WHL:mjs

20K7779.DOC

In re Patent entitled:  
"Gene Osisapi of Rice Confers  
Tolerance to Stresses and a  
Method Thereof"

SECOND Petition for Suspension  
Of Rules (37 C.F.R. 1.183) (In Dup.)  
Copy of Denial  
Copies of complete electronic filing  
Including proof of errors  
and proof of electronic payment  
by credit card.

**COPY**

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JAN 14 2010

Patent No. 7,576,263  
Petition for Suspension of Rules (37 C.F.R. § 1.183.)  
Paper Dated: December 16, 2009  
Attorney Docket No. 4544-051956

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. : 7,576,263 Confirmation No. 5119  
Inventors : Tyagi et al.  
Issued : August 18, 2009  
Title : Gene Osisapi of Rice Confers Tolerance  
to Stresses and a Method Thereof  
Examiner : Vinod Kumar  
Customer No. : 28289

**COPY****SECOND****PETITION FOR SUSPENSION OF RULES (37 C.F.R. § 1.183)**

MAIL STOP PETITIONS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We are submitting this Second request by regular mail since the electronically filed version was denied for reasons unbeknown to us. The Denial indicates that our Request to correct the Assignee should include three things: the fee of \$130.00; a statement indicating that the error was inadvertent; and a copy of the Notice of Recordation of Assignment Document. All three of those things were included with our submission of November 23, 2009. A copy of the Denial is enclosed with this submission, as well as copies of all of the documents originally filed electronically on November 23, 2009. You will notice that both fees have already been paid by credit card.

**NOTE:** We attempted to rectify this situation by calling both telephone numbers shown on the Denial and received a recording indicating that both numbers are "Out of Service".

Patentees hereby petition for the waiving of the requirements of 37 C.F.R. § 3.81 so that a Certificate of Correction may be granted under 37 C.F.R. § 1.323. Due to a good faith and inadvertent error made by Applicants in Item 3 of the Issue Fee Transmittal (Form PTOL-85B), the name of the second assignee was omitted.

I hereby certify that this correspondence is being filed via regular United States mail to: MAIL STOP PETITIONS, Commissioner for Patents, Alexandria, VA 22313-1450 on December 16, 2009.

Mary Jo Sinicropi

*Mary Jo Sinicropi* 12/16/2009

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JAN 14 2010

Patent No. 7,576,263  
Petition for Suspension of Rules (37 C.F.R. § 1.183.)  
Paper Dated: December 16, 2009  
Attorney Docket No. 4544-051956

Face of the Patent, Item (73) should read:

- University of Delhi, New Delhi (IN) and  
Department of Biotechnology, New Delhi (IN) -

(See the Assignment recordation, recorded on March 13, 2006, at Reel/Frame: 017300/0374, copy of the Assignment, as well as the "Patent Assignment Abstract of Title" from the USPTO website.)

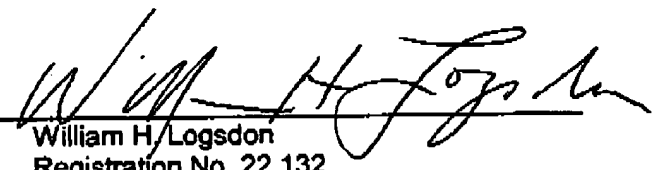
Patentees request that the file be forwarded to the Certificate of Correction Branch for the issuance of a Certificate of Correction. A Request for Certificate of Correction, Form PTO/SB/44, and proof of errors are attached. The requisite fee in the amount of \$100.00 has been paid by credit card with the initial Request filed electronically on November 23, 2009.

The required petition fee in the amount of \$130.00 as set forth in 37 C.F.R. §1.17(h) has been paid by credit card with the initial Request filed electronically on November 23, 2009. The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 23-0650.

Respectfully submitted,

THE WEBB LAW FIRM

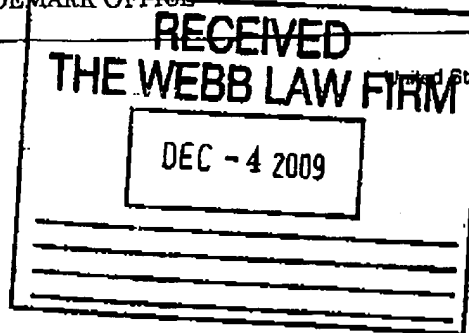
By

  
William H. Logsdon  
Registration No. 22,132  
Attorney for Registrants  
700 Koppers Building  
436 Seventh Avenue  
Pittsburgh, PA 15219  
Telephone: (412) 471-8815  
Facsimile: (412) 471-4094



UNITED STATES PATENT AND TRADEMARK OFFICE

JAN 14 2010

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov

Date: 12/01/09

Patent No. : 7576263 B2  
Ser. No. : 10/540,956  
Inventor(s) : TYAGI, KUMAR AKHILESH  
Issued : 08/18/09  
Title : NOVEL GENE OSISAP1 OF RICE CONFERS TOLERANCE TO  
STRESSES AND A METHOD THEREOF  
Docket No. : 4544-051956

**COPY**

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rule(s) 1.322 and/or 1.323.

Assignees' names and addresses (assignment data) printed in a patent, are based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Issue Fee Transmittal Form PTOL-85B. Granting of a request under 37 CFR 3.81(b) is required to correct applicant's error providing *incorrect or erroneous* assignment data, *before* issuance of a Certificate of Correction, under 37 CFR 1.323 (*see Manual of Patent Examining Procedures (M.P.E.P) Chp.1400, sect. 1481*). This procedure is required *at any time after the issue fee is paid*, including after issuance of the patent.

In view of the foregoing, your request, in this matter, is hereby denied.

A request to correct the Assignee under 37 CFR 3.81(b) should include:

- A. the processing fee set forth in 37 CFR 1.117(h) (currently \$130);
- B. a statement that the failure to include the correct assignee name on the PTOL-85B was inadvertent; and
- C. a copy of the Notice of Recordation of Assignment Document, reflecting the reel and frame number where the assignment(s) is recorded and/or reflecting proof of the date the assignment was submitted for recordation.

In the Request, Applicant(s) may request that the file be forwarded to Certificates of Correction Branch, for issuance of a Certificate of Correction, if the Request is granted.

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

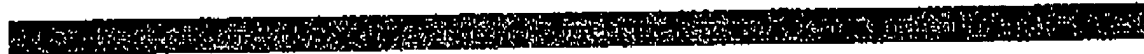
By mail: Mail Stop PETITIONS

Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

By hand: Customer Service Window  
Mail Stop Petitions  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

By fax: (571) 273-0025  
ATTN: Office of Petitions

If a fee (currently \$100) was previously submitted for consideration of a Request for Certificate of Correction, under CFR 1.323, to correct assignment data, no additional fee is required.



  
Lamonte M. Newsome

For Mary Diggs, Supervisor  
Decisions & Certificates  
Of Correction Branch

(703) 305-8309 or (703) 308-9390 #112

*Not in service*

**THE WEBB LAW FIRM, P.C.**  
**700 KOPPERS BUILDING**  
**436 SEVENTH AVENUE**  
**PITTSBURGH PA 15219**

LMN



JAN-14-2010 10:26

412 471 4094

JAN 14 2010

412 471 4094

P.09

**Electronic Acknowledgement Receipt**

<b>EFS ID:</b>	6508520
<b>Application Number:</b>	10540956
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	5119
<b>Title of Invention:</b>	<b>COPY</b>  NOVEL GENE OSISAP1 OF RICE CONFERS TOLERANCE TO STRESSES AND A METHOD THEREOF
<b>First Named Inventor/Applicant Name:</b>	Kumar Akhilesh Tyagi
<b>Customer Number:</b>	28289
<b>Filer:</b>	William H. Logsdon/Mary Jo Sincrope
<b>Filer Authorized By:</b>	William H. Logsdon
<b>Attorney Docket Number:</b>	4544-051956
<b>Receipt Date:</b>	23-NOV-2009
<b>Filing Date:</b>	13-MAR-2006
<b>Time Stamp:</b>	16:21:53
<b>Application Type:</b>	U.S. National Stage under 35 USC 371

**Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$230
RAM confirmation Number	3281
Deposit Account	
Authorized User	

**File Listing:**

Doc 900 209 4369

1	Miscellaneous Incoming Letter	051956petreassign.pdf	55506 e3d695419502b4072b3373c5d82736548e9b6	no	2
<b>Warnings:</b>					
<b>Information:</b>					
2	Request for Certificate of Correction	051956ccc.pdf	47502 7806795063040640a491633c25a3b1c5832	no	1
<b>Warnings:</b>					
<b>Information:</b>					
3	Miscellaneous Incoming Letter	051956coc.pdf	36318 8ba5c5258dca154008f3da36b7458bda7d7800	no	1
<b>Warnings:</b>					
<b>Information:</b>					
4	Miscellaneous Incoming Letter	051956prf1.pdf	194363 e6337301937b361831c379b48ca4602b0744312a	no	6
<b>Warnings:</b>					
<b>Information:</b>					
5	Miscellaneous Incoming Letter	051956prf2.pdf	89938 98e677d5f7973d615d1e43c2b01e8a8a4b0773d3	no	2
<b>Warnings:</b>					
<b>Information:</b>					
6	Fee Worksheet (PTO-875)	fee-info.pdf	32227 073aef08bda2ba4a9a984cd70b083f0ddcd99	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (ln bytes):</b>				455854	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

**Acknowledgement Receipt**

The USPTO has received your submission at **16:21:53** Eastern Time on **23-NOV-2009**.

**230** fee paid by e-File via **RAM** with Confirmation Number: 3281.

**Filed Application Information**

FS ID 6508520  
Application Number 10540956  
Confirmation Number 5119  
Title NOVEL GENE OSISAP1 OF RICE  
CONFERS TOLERANCE TO STRESSES  
AND A METHOD THEREOF  
First Named Inventor Kumar Akhilesh Tyagi  
Customer Number or  
Correspondence Address 28289  
Filed By William H. Logsdon/Mary Jo  
Sinicrope  
Attorney Docket Number 4544-051956  
Filing Date 13-MAR-2006  
Receipt Date 23-NOV-2009  
Application Type U.S. National Stage under 35 USC  
371

**COPY****Application Details**

Submitted Files	Page Count	Document Description	File Size	Warnings
151956petreassign.pdf	2	Miscellaneous Incoming Letter	55506 bytes	◆ PASS
151956rcc.pdf	1	Request for Certificate of Correction	47502 bytes	◆ PASS
151956coc.pdf	1	Miscellaneous Incoming Letter	36318 bytes	◆ PASS
151956prf1.pdf	6	Miscellaneous Incoming Letter	194363 bytes	◆ PASS
151956prf2.pdf	2	Miscellaneous Incoming Letter	89938 bytes	◆ PASS
fee-info.pdf	2	Fee Worksheet (PTO-875)	32227 bytes	◆ PASS

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53 (a)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 Indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

If a new international application is being filed and the International application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

*If you need help:*

- Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail [EBC@uspto.gov](mailto:EBC@uspto.gov) for specific questions about Patent e-Filing.
- Send general questions about USPTO programs to the [USPTO Contact Center \(UCC\)](#).
- If you experience technical difficulties or problems with this application, please report them via e-mail to [Electronic Business Support](#) or call 1 800-786-9199.

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JAN 14 2010

Patent No. 7,576,263  
Petition for Suspension of Rules (37 C.F.R. § 1.183.)  
Paper Dated: November 23, 2009  
Attorney Docket No. 4544-051956

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. : 7,576,263 Confirmation No. 5119  
Inventors : Tyagi et al.  
Issued : August 18, 2009  
Title : Gene Osisapi of Rice Confers Tolerance  
to Stresses and a Method Thereof  
Examiner : Vinod Kumar  
Customer No. : 28289

**COPY****PETITION FOR SUSPENSION OF RULES (37 C.F.R. § 1.183)**

MAIL STOP PETITIONS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Patentees hereby petition for the waiving of the requirements of 37 C.F.R. § 3.81 so that a Certificate of Correction may be granted under 37 C.F.R. § 1.323. Due to a good faith and inadvertent error made by Applicants in Item 3 of the Issue Fee Transmittal (Form PTOL-85B), the name of the second assignee was omitted.

Face of the Patent, Item (73) should read:

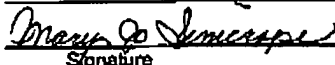
– University of Delhi, New Delhi (IN) and  
Department of Biotechnology, New Delhi (IN) –

(See the Assignment recordation, recorded on March 13, 2006, at Reel/Frame: 017300/0374, copy of the Assignment, as well as the "Patent Assignment Abstract of Title" from the USPTO website.)

Patentees request that the file be forwarded to the Certificate of Correction Branch for the issuance of a Certificate of Correction. A Request for Certificate of Correction, Form PTO/SB/44, and proof of errors are attached. The requisite fee in the amount of \$100.00 is being paid by credit card, the information for which is submitted concurrently herewith.

I hereby certify that this correspondence is being  
electronically filed with: MAIL STOP PETITIONS, Commissioner for  
Patents, Alexandria, VA 22313-1450 on November 23, 2009.

Mary Jo Sinicropo

  
Signature11/23/2009  
Date

Patent No. 7,576,263  
Petition for Suspension of Rules (37 C.F.R. § 1.183.)  
Paper Dated: November 23, 2009  
Attorney Docket No. 4544-051956

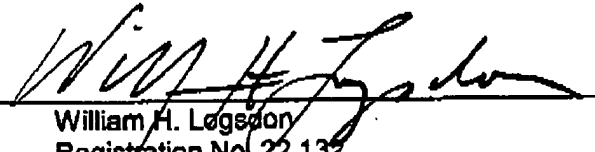
RECEIVED  
CENTRAL FAX CENTER

JAN 14 2010

The required petition fee in the amount of \$130.00 as set forth in 37 C.F.R. §1.17(h) is being paid by credit card. The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 23-0650.

Respectfully submitted,

THE WEBB LAW FIRM

By   
William H. Logsdon  
Registration No. 22,132  
Attorney for Registrants  
700 Koppers Building  
436 Seventh Avenue  
Pittsburgh, PA 15219  
Telephone: (412) 471-8815  
Facsimile: (412) 471-4094

Patent No. 7,576,263  
Request for Cert. of Correction dated November 23, 2009  
Attorney Docket No. 4544-051956

JAN 14 2010

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No. : 7,576,263 Confirmation No. 5119  
Inventors : Tyagi et al.  
Issued : August 18, 2009  
Title : Gene Osisapi of Rice Confers Tolerance  
to Stresses and a Method Thereof  
Examiner : Vinod Kumar  
Customer No. : 28289

**COPY**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

ATTENTION: Decision and Certificate of Correction  
Branch of the Patent Issue Division

Sir:

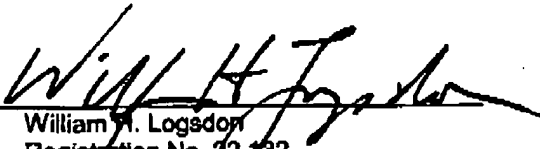
In accordance with 35 U.S.C. §§254 and 255, we attach hereto Form PTO/SB/44 and a copy of proof of errors and request that a Certificate of Correction be issued in the above-identified patent. The following errors appear in the patent as printed:

- (1) Face of the Patent, See Item (73) Assignee: the second assignee is missing. Item (73) should read:  
— University of Delhi, New Delhi (IN) and  
Department of Biotechnology, New Delhi (IN) —  
(See the Assignment recordation, recorded on March 13, 2006, at Reel/Frame 017300/0374, copy of the Assignment, as well as the "Patent Assignment Abstract of Title" from the USPTO website.)
- (2) Face of the Patent, See Item (56) References Cited, OTHER PUBLICATIONS, Column 2, the second to last entry to Mohanty et al., "high frequency" should read —high frequency—  
(See form SB/08a, which was considered by the Examiner on 04/17/2009, entry No. 6 to Mohanty et al.)

Error number (1) is an obvious error of omission made by Applicants. The \$100.00 fee for correction of Applicants' mistake is being paid by credit card, the information for which is submitted concurrently herewith. The remaining error is a printing error.

Respectfully submitted,  
THE WEBB LAW FIRM

By

  
William H. Logsdon  
Registration No. 72,132  
Attorney for Registrants  
700 Koppers Building  
436 Seventh Avenue  
Pittsburgh, PA 15219  
Telephone: (412) 471-8815  
Facsimile: (412) 471-4094

I certify that this correspondence is being electronically submitted to the United States Patent and Trademark Office on November 23, 2009.

Mary Jo Sinkrope  
(Name of person submitting paper)

11/23/2009  
Date

  
Signature



PTO/SB/44 (07-07)

Approved for use through 04/30/2007. OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
(Also Form PTO-1050)UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7,576,263  
APPLICATION NO. : 10/540,956  
ISSUE DATE : August 18, 2009  
INVENTORS : Tyagi et al.

**COPY**

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

- (1) Face of the Patent. See Item (73) Assignee: the second assignee is missing.  
Item (73) should read:  
— University of Delhi, New Delhi (IN) and  
Department of Biotechnology, New Delhi (IN) —
- (2) Face of the Patent. See Item (56) References Cited, OTHER PUBLICATIONS,  
Column 2, the second to last entry to Mohanty et al., "high frequency" should read  
—high frequency—

MAILING ADDRESS OF SENDER: The Webb Law Firm  
700 Koppers Building  
438 Seventh Avenue  
Pittsburgh, PA 15219

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MARCH 14, 2006

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## ASSIGNOR:

TYAGI, KUMAR AKHILESH

DOC DATE: 03/06/2006

## ASSIGNOR:

ARNAB, MUKHOPADHYAY

DOC DATE: 03/06/2006

## ASSIGNOR:

VIJ, SHUBHA

DOC DATE: 03/06/2006


017300/0374 PAGE 2

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SERIAL NUMBER: 10540956

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: NOVEL GENE OSISAP1 OF RICE CONFERS TOLERANCE TO STRESSES AND A  
METHOD THEREOF

ANTIONE ROYALL, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

10/540,956 NOVEL GENE OSISAP1 OF RICE CONFERS TOLERANCE TO STRESSES 07-06-  
AND A METHOD THEREOF 2009::16:24:22

**Patent Assignment Abstract of Title****Total Assignments: 1**

Application #: 10540956

Filing Dt: 03/13/2006

Patent #: NONE

Issue Dt:

PCT #: NONE

Publication #: US20060218677

Pub Dt: 09/26/2006

Inventors: Kumar Akhilesh Tyagi, Mukhopadhyay Arnab, Shubha Vij

Title: NOVEL GENE OSISAP1 OF RICE CONFERS TOLERANCE TO STRESSES AND A METHOD THEREOF

**Assignment: 1**

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Assignors: TYAGI, KUMAR AKHILESH

Exec Dt: 03/06/2006

ARNAB, MUKHOPADHYAY

Exec Dt: 03/06/2006

VIJ, SHUBHA

Exec Dt: 03/06/2006

Assignees: UNIVERSITY OF DELHI ①  
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NEW DELHI, INDIA 110 021  
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MINISTRY OF SCIENCE & TECHNOLOGY, BLOCK 2, 7TH FLOOR  
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**COPY**ASSIGNMENT

WHEREAS, we, KUMAR AKHILESH TYAGI, MUKHOPADHYAY ARNAB and SHUBHA VIJ, all residing in New Delhi, India, have co-invented, certain new and useful improvements in A NOVEL GENE OSISAPI OF RICE CONFERENCE TOLERANCE TO STRESSES AND A METHOD THEREOF, for which an application was received in the United States Patent and Trademark Office on June 29, 2005, bearing Serial No. 10/540,956, as initiation of the national phase of PCT/TN2003/000397, filed December 23, 2003;

AND, WHEREAS, UNIVERSITY OF DELHI and DEPARTMENT OF BIOTECHNOLOGY, both corporations of India, having places of business at Department of Plant Molecular Biology, University of Delhi South Campus, Benito Juaz 2 Road, New Delhi 110 021, India; and Ministry of Science & Technology, Block 2, 1<sup>st</sup> Floor, CGO Complex, Lodi Road, New Delhi 110 003, India; respectively, hereinafter called the "assignees", are desirous of acquiring the entire right, title, and interest in and to said application and the inventions and improvements therein disclosed.

NOW, THEREFORE, for good and valuable consideration paid to us by said assignees, the receipt of which is hereby acknowledged, we do hereby assign, sell, transfer, and set over unto said assignees the entire right, title and interest in and to said application and the inventions and improvements therein disclosed for the United States and all foreign countries and any Letters Patent which may issue therefor in the United States and all foreign countries and all divisions, reissues, continuations, continuations-in-part, renewals, and/or extensions thereof, said assignees to have and to hold the interests herein assigned to the full ends of the terms of said Letters Patent and any and all divisions, reissues, continuations, continuations-in-part, renewals, and/or extensions thereof, respectively, as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made.

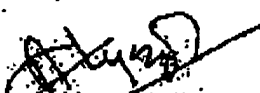
The Commissioner for Patents is requested to issue such Letters Patent in accordance herewith. we covenant that we are the lawful owners of the said application, inventions, and improvements, that the same are unencumbered, that no license has been granted to make, use, or vend the said inventions or improvements or any of them, and that we have the full right to make this assignment.

And for the consideration aforesaid, we agree that we will communicate to said assignees or the representatives thereof any facts known to us respecting said inventions and improvements, and will, upon request, but without expense to us, testify in any legal proceedings, sign all lawful papers, execute all divisional, reissue, continuation, continuation-in-part, renewal, and/or extension applications, make all rightful oaths, and generally do all other and further lawful acts, deemed necessary or expedient by said assignees or by counsel for said assignees, to assist or enable said assignees to obtain and

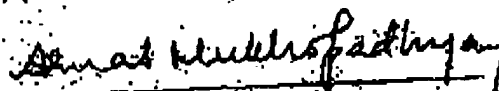
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enforce the benefits from the rights and interests herein assigned. This assignment shall be binding upon our heirs, successors, administrators, and/or assigns, and shall inure to the benefit of the heirs, successors, administrators, successors, and/or assigns, as the case may be, of said assignor.

Dated 6/1/06

  
Kumar Atulish Tiagi

Dated 6/3/06

  
Anant Kulkarni

Dated 06/03/06

  
Shobha V

10/540,956 NOVEL GENE OSISAP1 OF RICE CONFERS TOLERANCE TO STRESSES AND A METHOD THEREOF 07-06-2009::16:24:05

**Bibliographic Data**

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Application Type:	Utility	Status Date:	04-21-2009
Examiner Name:	KUMAR, VINOD	Location:	ELECTRONIC
Group Art Unit:	1638	Location Date:	-
Confirmation Number:	5119	Earliest Publication No:	US 2006-0218677 A1
Attorney Docket Number:	4544-051956	Earliest Publication Date:	09-28-2006
Class / Subclass:	800/289	Patent Number:	-
First Named Inventor:	Kumar Akhilesh Tyagi , New Delhi, (IN)	Issue Date of Patent:	-

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Title of Invention: NOVEL GENE OSISAP1 OF RICE CONFERS TOLERANCE TO STRESSES AND A METHOD THEREOF

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APPLICATION NO/ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
10540956	3/13/2006	TYAGI ET AL.	4544-051956

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EXAMINER

VINOD KUMAR

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Vinod Kumar/  
Examiner, Art Unit 1638

PTO-90C (Rev.04-03)



JAN 14 2010

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Not for submission under 37 CFR 1.99)

Application Number	10540956
Filing Date	2006-03-13
First Named Inventor	Kumar Tyagi et al.
Art Unit	1638
Examiner Name	Vinod Kumar
Attorney Docket Number	4544-051956

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NK/	1	SANGWAN et al., "Cold-activation of Brassica napus BN115 promoter is mediated by structural changes in membranes and cytoskeleton, and requires Ca <sup>2+</sup> influx", (2001), Vol 27(1), pp. 1-12, The Plant Journal.	<input type="checkbox"/>
NK/	2	Örvar et al., "Early steps in cold sensing by plant cells: the role of actin cytoskeleton and membrane fluidity", (2000), Vol. 23(6), pp. 785-794, The Plant Journal.	<input type="checkbox"/>
NK/	3	LOGEMANN et al., "Improved method for the isolation of RNA from plant tissues", (1987), Vol. 163, pp. 16-20, Analytical Biochemistry.	<input type="checkbox"/>
NK/	4	LESCOT et al., "PlantCARE, a database of plant cis-acting regulatory elements and a portal to tools for in silico analysis of promoter sequences", (2002), Vol. 30, No. 1, pp. 325-327, Nucleic Acids Research.	<input type="checkbox"/>
NK/	5	KIM et al., "A novel cold-inducible zinc finger protein from soybean, SCOF-1, enhances cold tolerance in transgenic plants", (2001) Vol. 25(3), pp. 247-259, The Plant Journal.	<input type="checkbox"/>
NK/	6	MOHANTY et al., "Agrobacterium-mediated high frequency transformation of an elite indica rice variety Pusa Basmati 1 and transmission of the transgenes to R2 progeny", (1999), Vol. 147, pp. 127-137, Plant Science.	<input type="checkbox"/>
NK/	7	JANI et al., "Expression of Cholera toxin B subunit in transgenic tomato plants", (2002), Vol. 11, pp. 447-454, Transgenic Research.	<input type="checkbox"/>

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Examiner Signature	Vinod Kumar/	Date Considered	04/17/2009
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